



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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21 June, 2005  
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MAIL STOP PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
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Donald E. Schreiber  
Donald E. Schreiber

Dated: 20 June, 2005

Donald E. Schreiber  
A Professional Corporation  
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Serial No. : 10/508,861 Confirmation No. (Unknown)  
Applicant : Kung Chris Wu, et al.  
Filed : September 2, 2005  
Title : UNIVERSAL RETICLE TRANSFER  
SYSTEM  
TC/A.U. : (Unknown)  
Examiner : (Unknown)  
  
Docket No. : 2207  
Customer No.: 23320

MAIL STOP PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Sir:

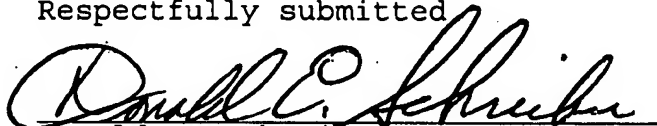
PETITION TO ADD AN INVENTOR  
Under  
37 C.F.R. § 1.497(d)

Pursuant to 37 C.F.R. § 1.497(d), Applicants hereby petition to add Chien-Rong Huang as an inventor for the United States patent application identified above in addition to the five (5) inventors identified on Patent Cooperation Treaty ("PCT") international

patent application no. PCT/US2003/018705, International Publication No. WO 2003/106310 A1, from which the United States patent application claims priority.

Due to an oversight by applicant's counsel during preparation of the PCT patent application, without deceptive intent Chien-Rong Huang's name was omitted from the inventors listed on the PCT patent application. Chien-Rong Huang appears as an inventor on United States provisional patent application no. 60/388,959 from which the PCT patent application claims priority.

Respectfully submitted

  
Donald E. Schreiber  
Reg. No. 29,435

Dated: 20 June, 2005

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Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/508,861 Confirmation No. (Unknown)  
Applicant : Kung Chris Wu, et al.  
Filed : September 2, 2005  
Title : UNIVERSAL RETICLE TRANSFER  
SYSTEM  
TC/A.U. : (Unknown)  
Examiner : (Unknown)  
  
Docket No. : 2207  
Customer No.: 23320

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Sir:

STATEMENT BY CHIEN-RONG HUANG  
In Support Of a  
PETITION TO ADD AN INVENTOR  
Under  
37 C.F.R. § 1.497(d)

I, CHIEN-RONG HUANG declare that:

1. I am identified as an inventor on United States provisional patent application no. 60/388,959 from which Patent Cooperation Treaty ("PCT") international patent application no. PCT/US2003/018705, International Publication No. WO 2003/106310 A1, claims priority.

2. I was omitted as an inventor on the PCT patent application without deceptive intent by me.

3. I truthfully signed a declaration for the United States patent application identified above that:

- a. claims priority from the PCT patent application;  
and
- b. was filed with the United States Patent and Trade-  
mark Office ("USPTO") on September 2, 2004.

4. I declare under penalty of perjury under the laws of the United States of America that all statements made herein of my own knowledge are true and correct, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any patent issuing on the present application.

Chien-Rong Huang  
Chien-Rong Huang

Dated: June 7, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/508,861 Confirmation No. (Unknown)  
Applicant : Kung Chris Wu, et al.  
Filed : September 2, 2005  
Title : UNIVERSAL RETICLE TRANSFER SYS-  
TEM  
TC/A.U. : (Unknown)  
Examiner : (Unknown)  
  
Docket No. : 2207  
Customer No.: 23320

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Sir:

CONSENT OF ASSIGNEE  
TO THE ADDITION OF AN INVENTOR

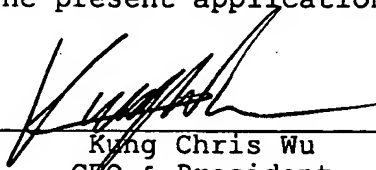
Under  
37 C.F.R. § 1.497(d)

I, Kung Chris Wu, acting as CEO & President of Fortrend Engineering Corporation, a California corporation having an office located at 404 Tasman Drive, Sunnyvale, California 94089-1705, certify that:

1. pursuant to an assignment recorded with the United States Patent and Trademark Office ("USPTO") on December 29, 2003, at Reel/Frame 014892/0641, a copy of which is attached hereto as Exhibit A, Fortrend Engineering Corporation is the assignee of Patent Cooperation Treaty ("PCT") international patent application no. PCT/US2003/018705, International Publication No. WO 2003/106310 A1, from which the United States patent application identified above claims priority.

2. Fortrend Engineering Corporation hereby consents to the addition of Chien-Rong Huang as an inventor for the United States patent application identified above.

3. I declare under penalty of perjury under the laws of the United States of America that all statements made herein of my own knowledge are true and correct, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any patent issuing on the present application.

  
\_\_\_\_\_  
King Chris Wu  
CEO & President  
Fortrend Engineering Corporation  
Dated: 6/13/, 2005



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 09, 2004

PTAS

DONALD E. SCHREIBER A PROFESSIONAL CORP.  
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\*102635168A\*

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ASSIGNOR:

WU, KUNG CHRIS

DOC DATE: 12/12/2003

ASSIGNOR:

SIFUENTES, ROBERT FRANK

DOC DATE: 12/12/2003

ASSIGNOR:

HARDY, KENNETH ALAN

DOC DATE: 12/12/2003

ASSIGNOR:

CALIBOSO, EDGARDO A.

DOC DATE: 12/12/2003

ASSIGNOR:

MALOBRODSKY, ANATOLY

DOC DATE: 12/12/2003

014829/0641 PAGE 2

ASSIGNEE:

FORTREND ENGINEERING COMPANY  
404 TASMAN DRIVE  
SUNNYVALE, CALIFORNIA 94089-1705

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US0318705

TITLE:

FILING DATE:

ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



## A S S I G N M E N T

We, Kung Chris Wu, Robert Frank Sifuentes, Kenneth Alan Hardy, Edgardo A. Caliboso and Anatoly Malobrodsky, whose citizenships, residences, and post office addresses are as stated below beneath our names, for One Dollar (\$1.00) in hand paid to us and for other good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to Fortrend Engineering Corporation, a California corporation having an office located at 404 Tasman Drive, Sunnyvale, California 94089-1705 (hereinafter referred to as Assignee), its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in Patent Cooperation Treaty ("PCT") International Patent Application entitled:


### UNIVERSAL RETICLE TRANSFER SYSTEM

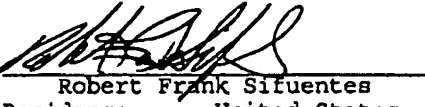
that was filed with the United States Patent and Trademark Office ("USPTO") on June 12, 2003, and has been assigned International application no. PCT/US03/18705, and that designates the United States of America; and in and to any and all regular divisions, reissues, in and to all continuations and extensions thereof; and in and to all Letters Patent and all Convention and Treaty Rights of all kinds, in all countries throughout the world, for such subject matter.

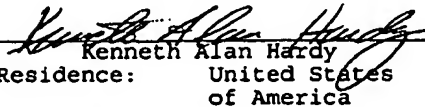
We hereby authorize and request that Patent Office Officials in the United States and any and all foreign countries issue any and all of the Letters Patent, when granted, to the Assignee, as the assignee of our entire right, title and interest in and to the same, for the sole use and enjoyment of said Assignee, its successors and assigns.

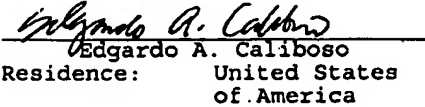
Further, we agree that we will communicate to said Assignee, or its representatives, any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisions, continuations, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and generally do everything necessary or desirable to aid said Assignee, its successors and assigns, in obtaining and enforcing proper protection for said invention in the United States and in any and all foreign countries.


In Testimony Whereof, we have hereunto set our hands on December 12, 2004 3 K.W.K.

  
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**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

STATE OF CALIFORNIA )

COUNTY OF SANTA CLARA )

On DECEMBER 12, 2003 before me, ESTHER WONG NOTARY PUBLIC  
DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"

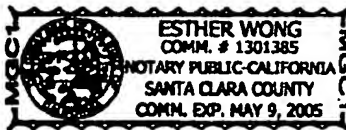
personally appeared, KUNG CHRIS WU AND ROBERT FRANK SIFUENTES  
AND KENNETH ALAN HARDY AND EDGARDO A. CALIBOSO

~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

*Esther Wong*  
NOTARY PUBLIC SIGNATURE

(SEAL)



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THIS OPTIONAL INFORMATION SECTION IS NOT REQUIRED BY LAW BUT MAY BE BENEFICIAL TO PERSONS RELYING ON THIS NOTARIZED DOCUMENT.

TITLE OR TYPE OF DOCUMENT ASSIGNMENT

DATE OF DOCUMENT DECEMBER 12, 2003 NUMBER OF PAGES 2

SIGNER(S) OTHER THAN NAMED ABOVE ANATOLY MALO BRODSKY

SIGNER'S NAME \_\_\_\_\_ SIGNER'S NAME \_\_\_\_\_

RIGHT THUMBPRINT

RIGHT THUMBPRINT

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara } ss.

On 16<sup>th</sup> day of Dec, 2003, before me, Ali Asghar Rangwala, a Notary Public,

personally appeared ANATOLY (AKA ANATOLIY) MALOBRODSKY

NAME(S) OF SIGNER(S)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal:



PLACE NOTARY SEAL ABOVE

Ali Asghar Rangwala

SIGNATURE OF NOTARY PUBLIC

Ali Asghar Rangwala

TYPED NAME OF NOTARY PUBLIC

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Description of Attached Document

Title or Type of Document:

Assignment

Document Date: 12-16-03

Number of Pages: 2

Signer(s) other than named above:

Kung Chris Wu, Robert F. Silvestro,  
Kenneth A. Hardy, Edgardo A. Caliboso

Capacity(ies) Claimed by Signer(s)

Signer's Name: ANATOLY MALOBRODSKY

Signer's Name: \_\_\_\_\_

☒ Individual ☐ Trustee ☐ Corporate Officer

☐ Partner ☐ Guardian ☐ Attorney in Fact

☐ Other: \_\_\_\_\_

☐ Individual ☐ Trustee ☐ Corporate Officer

☐ Partner ☐ Guardian ☐ Attorney in Fact

☐ Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_



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(19) 世界知的所有権機関  
国際事務局



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(71) 出願人 (米国を除く全ての指定国について): 住友化学  
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3 3 号 住友化学知的財産センター株式会社内 Osaka  
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BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK,  
DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU,  
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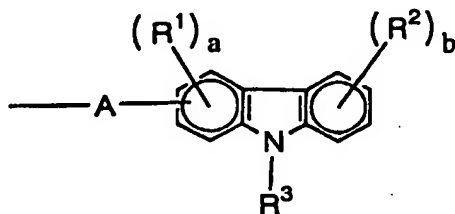
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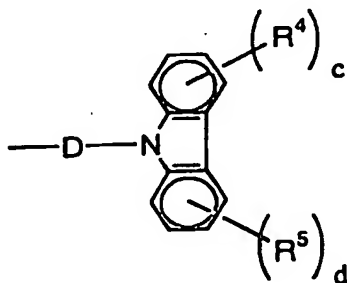
[続葉有]

(54) Title: METAL COMPLEXES AND ORGANIC ELECTROLUMINESCENT DEVICES

(54) 発明の名称: 金属錯体化合物および有機エレクトロルミネッセンス素子



(1)



(2)

(57) Abstract: Metal complexes characterized by  
having a metal complex structure permitting lumi-  
nescence from the triplet excited state and a mono-  
valent group represented by the general formula (1)  
or (2); and luminescent devices made by using the  
same. The metal complexes are superior to lumi-  
nescent materials of the prior art in luminous effi-  
ciency and can form luminescent layers by coating.  
(1) [wherein A is arylene or the like; R<sup>1</sup> and R<sup>2</sup> are  
each independently halogeno or the like; R<sup>3</sup> is alkyl  
or the like; a is an integer of 0 to 3; and b is an in-  
teger of 0 to 4] (2) [wherein D is arylene or the like;  
R<sup>4</sup> and R<sup>5</sup> are each independently halogeno or the  
like; and c and d are each an integer of 0 to 4.]

[続葉有]

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